

Excerpt from the Regulation on the Qualification Commission of the National Bank of Ukraine for Conducting Tests and Interviews with the Individuals Operating in the Non-Banking Financial Services Market (hereinafter the Regulation on the Commission) approved by the decision of the Board of the National Bank of Ukraine on 18.03.2022 №142

According to clause 72 of Chapter VIII of the Regulation on the Commission:

Questions and answer options are displayed to the candidate on the screen with the use of remote communication tools, if the testing is conducted using a video conference.

The course of testing with in-person participation of the candidate is recorded using a video recording with the use of remote communication tools after notifying the candidate.

The Secretary of the Commission familiarizes the candidate with the testing rules, defined in Chapters VII and VIII of the Regulation on the Commission, after the candidate joins the video conference and before testing

According to clause 73 of Chapter VII of the Regulation on the Commission:

When taking the test, the candidates are prohibited from:

- 1) using any sources of information;
- 2) speaking to other persons;
- 3) performing the test for other candidates (in the case of in-person testing) and/or transferring the test to be performed by other persons;
- 4) using any means of recording, reproducing and processing information;
- 5) leaving the premises in which the test is conducted without the permission of the secretary of the Commission (in the case of in-person testing).

According to clause 75 of Chapter VII of the Regulation on the Commission:

Members of the Commission, upon finding proof that the candidate violated the requirements listed in clause 73 of Chapter VII of the Regulation on the Commission, can decide to:

- 1) suspend the candidate from taking the test and recognize the candidate as having failed the test;
- 2) invite the candidate to pass the test at the premises of the NBU or to conduct an interview at the meeting of the Commission with members of the Commission present (in case of remote testing).

According to clause 78-83 of Chapter VIII of the Regulation on the Commission:

A question can have one or more correct answers. The number of correct answers will be mentioned in the question. The answer to such a question will be correct, if the candidate selects all correct answers. If only one correct answer is selected or if one of the selected answers is incorrect, than the answer to such a question will not be scored as correct.

The time limit for the test is 30 minutes.

Candidates that participate in the test are provided with the test tasks that differ. A candidate who retakes the test will be given a different test task from the one he/she took in the previous attempts. Each correct answer scores 1 point. The maximum score for the test is 20 points.

The test is considered to be passed if the candidate scores 15 points and more.

If the candidate fails the test, he/she has one more attempt to redo the test.

If the candidate fails for the second time, he/she shall deem to not have passed the test and is not allowed to retake the test based on the results of the National Bank's review of the relevant package of documents.

If the candidate fails the test, he/she is not allowed to the interview.